Life cycle assessment (LCA) of electricity generation technologies in China: Overview, comparability and limitations

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ABSTRACT

Electricity sector is a main contributor of greenhouse gas (GHG), NO_x, SO₂ and PM_{2.5} and related environmental impact in China. This research conducted a critical review of 36 studies containing 51 cases involving 10 electricity generation technologies in China to analyze the ranges of emission data for GHG, NO_x, SO₂ and PM_{2.5}, summarize the current LCA methodologies and the key indicators like impact categories and temporal scale. The results showed it's incomplete to measure the environmental performance of an electricity generation technology solely based on GHG emission. Emissions data were set and evaluated at different life cycle stages for different electricity generation technologies. Emissions from fuel combustion contributed the majority of the life cycle emissions for fossil fuel power, up to 90% for GHG, 70% for SO₂, similarly, biomass fuel provision accounted the largest share of emissions for biomass technologies, up to 85% for GHG. Emission and environmental impacts from plant infrastructure contributed the largest share for renewable energies. And the emission of end-of-life treatment from renewable energies can offset considerable life cycle emissions. The results from this review provided a concrete and balanced basis for further LCA modelling in the China's whole electricity sector.

Keywords: electricity sector, LCA, renewable energy, energy systems for power generation.

1. INTRODUCTION

In developing countries like China and India, majority electricity supply depend on fossil fuel. In the last two decades, China experienced slow energy transition path, where the fossil fuel electricity share dropped from 82.12% in 2000 to 67.95% in 2019 [1] and coal power's proportion dropped from 78.21% to 64.61%. China's electricity sector has contributed considerable CO₂ emission in the last 2 decades too, increased from 45% (1427 Mt) to 53% (4896 Mt) of total CO₂ emission. Electricity sector has become the largest contributor of carbon dioxide or GHG, where coal power's share soar from 1365.5 Mt to 4726.6 Mt [1]. Moreover, SO₂ and PM_{2.5/10} are also concerning in China. Many studies have noticed the country suffered a lot of haze fog and acid rain, to which electricity generation is one of the largest contributors (Chen et al., 2011)[2,3] and causes lots of environmental problems.

In this regard, the environmental impacts associated with different energy technologies are increasingly becoming an important part of supporting policy making. Carbon footprint, other greenhouse gas accounting methods and life cycle assessment (LCA) are usually used to evaluate environmental performance [4–6]. LCA is an environmental method which provides accounting for and assessing the environmental aspects and potential impacts related to products, services, or processes [7,8]. ISO 14040 and ISO 14044 defined the standard 4 steps approach, which are: (1) goal and scope definition, (2) life cycle inventory (LCI), (3) life cycle impact assessment (LCIA) and (4) interpretation.

There are a significant number of LCA studies focused on China's electricity generation technologies in the past decade, most of these are case studies which focus on China's one or multiple electricity technologies. Majority of them analyzed emission inventory, especially carbon footprint which is the most common indicator [9-13]. While less studies applied LCIA [3,14–17] to analyze a wide range of environmental impacts about China's electricity sector. Various methods exist in current LCA practice in China, but the importance of method choices, emission types, and contributions of different life cycle stages has not been rigorously assessed in the context of power generation. A systematic review of the results of method selection and technical performance is required to establish a concrete and balanced basis for further LCA modelling in the China's electricity sector.

This study conducts the first in-depth review of LCA studies on 10 different electricity generation technologies in China to (i) summarize current LCA methodologies and (ii) systematically evaluate and compare their emission inventory, environmental impacts and the determining factors.

2. METHODOLOGY

2.1 Research scope

The selected electricity generation technologies are: coal, natural gas, oil, hydro, wind, solar, biomass, geothermal, tidal and wave and nuclear. Overall, two approaches are used in current LCA practices: process chain analysis (PCA) and input–output analysis (IOA), which the process chain analysis is widely applied in China. Emission factors, like GHG, SO₂, NO_x and PM_{2.5}, environmental impact categories, like global warming potential (GWP) and acidification potential (AP), and other indicators like temporal, special scale are also analyzed additionally.

2.2 Literature screening

The collected literature are searched via Google Scholar, Science Direct, Springer and ACS, where Google Scholar was chosen as the major search database, by applying the terms like "life cycle assessment" or LCA, electricity, China; "life cycle assessment" or LCA, energy, China; "life cycle assessment" or LCA, tidal power, China; "life cycle assessment" or LCA, wave power, China, 116 studies were found out. LCA studies were included based on a range of criteria: (1) the included studies should consider more than 2 kinds of emission inventory or 3 environmental impact categories; (2) the selected studies should be published after 2010 to ensure the data availability; (3) the considered studies should contain a functional unit clearly related to electricity generation like 1 kWh or 1 MWh. 56 studies were finally screened out by applying these criteria.

2.3 Results

This study collected 51 cases from 39 studies in China, from 2009-2021. 25 of them conducted life cycle impact assessment, which only 6 of them identified 18 impact categories, 26 cases conducted emission inventory analysis, which majority studies analyzed GHG and SO₂, while 6 cases considered emission inventory and impact assessment simultaneously. In this study, 3 LCA methods can be identified, which are process-based LCA, Economic IO-LCA and hybrid LCA, where most of the collected studies applied process-based LCA, the hybrid and economic IO LCA can be identified in 4 and 3 studies respectively. Professional LCA software are widely applied in those studies which conducted LCIA, including Simapro, Gabi, also professional LCA database can be located as well, like Ecoinvent which is the most popular database and CLCD, a China's localized dataset.

Table 1. Overview of the technologies considered, s	patial
and temporal scale and LCA methodologies.	

Study	Technolo gy type	Spatial scale	Tempor al scale	LCA methodology
[18]	Coal	Plant scale	2009	LCIA, ReCiPe, 18 categories
[19]	Coal	Plant scale	2009	LCIA, CML 2001, 8 impact categories
[20]	Coal	Plant scale	2010	Emission inventory and LCIA, CML 2001, 5 impact categories
[16]	Coal	Plant scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[17]	Coal	Plant scale	2009	Emission inventory CO ₂ , SO ₂ , NO _x and PM _{2.5}
[2]	Coal	Plant scale	2013- 2017	Emission inventory, CO ₂ , SO ₂ , NO _x and PM _{2.5}
[21]	Coal	Plant scale	2010- 2014	LCIA, mid-point impacts, 8 impact categories
[22]	Coal	Plant scale	2016	LCIA, CML 2001, 5 impact categories
[15]	Coal	Plant scale	2019	Hybrid-LCA, emission inventory, economic analysis
[23]	Natural gas	Plant scale	2016	LCIA, 5 impact categories
[24]	Natural gas	Plant scale	2020	Emission inventory, GHG
[25]	Natural gas	Plant scale	2020	Emission inventory, GHG
[26]	Natural gas	Provincial scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[27]	Natural gas	Plant scale	2017	LCIA, CML 2001, GHG
[28]	Oil	National scale	2010	Tsinghua-CA3EM, Emission inventory, CO ₂ ; CH ₄ and N ₂ O
[27]	Oil	Plant scale	2017	LCIA, CML 2001, GHG
[29]	Wind	Provincial scale	2013	Emission inventory and LCIA, 2 impact categories, EP, GWP
[30]	Wind	Plant scale	2016	Economic input-output LCA, emission inventory, CO_2 , SO_2 , NO_x and $PM_{2.5}$
[16]	Wind	Plant scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x and PM _{2.5}
[31]	Wind	Plant scale	2017	LCIA, CML2001, 11 impact categories
[12]	wind	Plant scale	2018	LCIA, CML 2001, 5 impact categories
[32]	Wind	Plant scale	2012	Emission inventory, CO_2 , CO , SO_2 , NO_x and PM and energy consumption
[17]	Wind	Plant scale	2010	Emission inventory, CO ₂ , SO ₂ , NO _x and PM _{2.5}
[26]	Wind	Provincial scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[26]	Solar	Provincial scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[33]	Solar	Plant scale	2014	Gabi4, LCIA, 6 impact categories
[34]	Solar (ms-Si)	National scale	2013	Hybrid LCA, emission inventory, CO ₂ , CH ₄ , SO ₂ , N ₂ O and NO _x

[35]	Solar	Plant scale	2010	Emission inventory, GHG, SO ₂ , NO _x and TSP
[36]	Solar	Plant scale	2019	Simapro 8.3 and Ecoinvent 2.0, LCIA, Eco-Indicator 99, 18 impact categories
[37]	Solar	National Scale	2010	LCIA, Receipt, 16 impact categories
[38]	Solar	Provincial scale	2017	Emission inventory, GHG and energy payback time
[39]	Wave	Plant scale	2017	LCIA, ReCiPe, 18 impact categories, energy payback time
[40]	Geother mal	Plant scale	2019	LCIA, CML 2002, 3 impact categories, CLCD database
[41]	Hydro	Plant scale	2016	Economic IO-LCA, CLCD database, emission inventory, GHG
[42]	Hydro	Plant scale	2018	Emission inventory, GHG; LCIA, GHG
[43]	Hydro	Plant Scale	2014	Economic IO-LCA, emission inventory, CO ₂
[44]	Hydro	Plant scale	2014	Gabi6, Ecoinvent 2.2, LCIA, CML2001, 6 impact categories
[12]	Hydro	Plant scale	2018	LCIA, CML 2001, 5 impact categories
[26]	Hydro	Provincial scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[45]	Biomass	Plant scale	2012	Emission inventory, CO ₂ CO CH ₄ N ₂ O NO _x Dust SO ₂ , LCIA, 5 impact categories
[46]	Biomass	Plant scale	2014	Hybrid LCA, emission inventory, GHG; economic analyse
[47]	Biomass	Plant scale	2015	LCIA, ReCiPe method, 18 impact categories
[15]	Biomass	Plant scale	2019	Hybrid-LCA, emission inventory, economic analysis
[26]	Biomass	Provincial scale	2013	Emission inventory, CO ₂ , SO ₂ , NO _x
[48]	Biomass	Plant scale	2018	LCIA, 7 impact categories; emission inventory, CO ₂ CO CH ₄ N ₂ O NO _x Dust SO ₂
[49]	Biomass	Plant scale	2019	Emission inventory, $CO_2 CO CH_4$ N ₂ O NO _x SO ₂ , HC, PM ₁₀ ; LCIA, 5 impact categories
[28]	Nuclear	Plant scale	2010	GREET, emission inventory, GHG
[24]	Nuclear	Plant scale	2020	Emission inventory, GHG, SO ₂ , NO _x and PM _{2.5}
[12]	Nuclear	Plant scale	2018	LCIA, CML 2001, 5 impact categories
[26]	Nuclear	Provincial scale	2015	Emission inventory, CO ₂ , SO ₂ , NO _x
[50]	Nuclear	National scale	2017	LCIA, Eco-indicator 99 3 impact categories, Simapro

2.3.1 LCA of fossil fuel power

Sixteen cases about coal, natural gas and oil power were screened according to the criteria, where only one reported the emission factors on national scale another one on provincial scale, fourteen reported the emission factors on plant scale. Four type of coal power technology were considered: Subcritical, Supercritical, Ultra-supercritical and Integrated coal gasification combined cycle (IGCC). This distinction was made because of the prominent performance variation, it can be noticed in emission factors and system efficiencies. Six studies conducted environmental impact assessment

(4 for coal power, 1 for natural gas, 1 for oil power), only one study considered the whole 18 impact categories. GWP, AP, EP were widely reported, where most of their contributions can be referred to plant operation. While ten studies reported the emission inventory, apart from GHG, emission data for SO₂, NO_x and PM were identified in 10, 9 and 5 studies, respectively, similar to environmental impacts, most of the emissions were related to plant operation, the emission factor of CO_2 is in the range of 410-1317 gCO₂ -eq/kWh at the plant level, 380-1000 gCO₂ -eq/kWh for provincial scale (natural gas power only), 1181.61 gCO₂ -eq/kWh for national scale (oil power only). For SO₂, NO_x and PM_{2.5}, only plant scale data can be identified, which are 0.097-7.6 gSO₂/kWh, 0.388-8.05 gNO_x/kWh and 0.16-2.2 gPM_{2.5}/kWh, respectively.

Coal power has the largest reported emission factor of CO₂ in fossil fuel power, while the natural gas reported the smallest CO₂ emission. For emission factors of SO₂, NO_x and PM, distinct difference can't be identified among fossil fuel powers. All studies on fossil fuel power found the plant operation is the largest contributor to the 4 emissions, ranging from 88% [51] to 95% [23]. Apart from plant operation, majority studies agreed the fossil fuel mining and processing is the second largest contributor of GHG, due to the methane emissions during mining and processing. Followed by fossil fuel transportation, including bulk and pipeline transportation, which contributed 1%-3% of greenhouse gas, especially the methane, but some studies believed it's the largest source of PM [21], contributing 92% of dust emission. Emissions from plant construction and infrastructure were reported as negligible in all studies.

2.3.2 LCA of renewable energies

30 cases of renewable energies are selected, including 8 of wind, 7 of solar, 1 study of wave and geothermal, 6 of hydro and 7 of biomass, see table 1.

2.3.2.1 LCA of wind power

For wind power studies, 2 of them reported the provincial scale results, while the rest of the studies reported the plant scale results. 3 studies conducted LCIA, 5 studies reported emission inventory, which [30] applied EIO-LCA to calculate emission inventory. No study analyzed the whole 18 impact categories, but GWP and AP can be identified individually, where the nacelle (30%-50%), tower (41%-45%) and rotor (19%) were reported as major contributors of GWP. All of eight studies reported GHG and SO₂ emission, emission factors of NO_x and PM₁₀ were reported in 6 and 4 studies

respectively, with specifying the contributions throughout the life cycle for four emissions. The emission factor of GHG was reported in the range of 7.7-31.36 g CO₂/kWh for plant scale, 3-41 gCO₂/kWh for provincial scale, with high variation. Most of the reviewed studies believe the production stage was the largest contributor of CO₂[16,17], ranging from 65% to 90%, followed by the transportation and construction, which ranked second and third in life cycle CO₂ emission of wind turbine. Majority studies agreed the recycling can offset considerable amount of emissions, up to 20% [3,11,52]. Operation and maintenance was reported as the smallest contributor for 4 reviewed emissions.

2.3.2.2 LCA of solar power

For solar power, 3 studies applied environmental impact assessment, 4 studies conducted emission inventory analysis. Only one study analyzed full impact categories, all collected studies agreed the production stage is the largest contributor to all environmental impacts, while the electricity consumption is the largest contributor for GWP and Terrestrial Acidification. The emission factor of GHG showed high variation (up to one order of magnitude), from 5.6 to 207 g CO₂ -eq/kWh for national scale; from 29.2 to 64.5 g CO₂ -eg/kWh for plant level, much higher than results reported by previous review study (13–130 g CO₂-eq/kWh), all studies agreed the mass use of high fossil fuel based electricity in cell manufacturing, including different type of silicon manufacturing and processing, aggravated the GHG emission, up to 36 % [36]. Cell manufacturing contributed up to 88% of CO_2 emission in total [35,53,54]. A similar occurrence can be explained for NO_x and SO₂ emissions as well, such as the reported emission factor of SO₂ and NO_x were 0.12-0.7 g SO₂/kWh and 0.15-0.4 gNO_x/kWh (plant scale only), respectively, mainly from electricity consumption in cell manufacturing as well. For materials' attribution, silicon is another major source of CO₂ in cell manufacturing, apart from electricity, account about 35% of CO2 emission [33,36,54], glass [37] and aluminum [55] ranked third and fourth in materials related emissions.

2.3.2.3 LCA of geothermal, wave and hydro power

One study about wave and geothermal power and six studies about hydro power were screened. The geothermal and wave studies identified the environmental impact contributions in plant scale, which the wave convertor and geothermal generator had the largest share in GWP and AP, while the contribution of maintenance and operation are negligible [39,40]. Three hydro power studies reported the results of environmental impacts, which the concrete and steel in reservoir construction were largest contributor in all reported impact categories. All studies reported the emission factor of GHG (plant scale only), which were in the range of 89 gCO₂/kWh (wave), 3.88-80.49 gCO₂/kWh (geothermal) and 6.2-195 gCO₂/kWh (hydro), respectively.

6 studies of hydro power, including one small hydro project, four middle and large size hydro project, conducted emission inventory analyzes, three study identified the SO₂ emission. Majority studies agreed operation & Maintenance of reservoir was the major contributor of GHG, whereas [12,24,44] believed the material production was the largest contributor of GHG, while [41] believed the river sediment emission from retirement stage was the largest GHG emission source. All study about middle and large size system reported methane emissions from the anaerobic decomposition of flooded organic matter, which account less than 10% of general GHG emission [56], while [42] believe the reservoir inundation contribute more than 80% of GHG emission in operation & maintenance stage. The reservoir emissions depend on the local climate, water depth, type and amount of flooded vegetation and soil type [57].

2.3.2.4 LCA of biomass power

Five studies, including 4 studies with LCIA and 1 emission inventory analysis, were reviewed, see table 1. Similar to fossil fuel power, the plant operation is the largest contributor of emission inventory and environmental impacts, followed by the biomass fuel provision, the biofuel transportation shared the smallest contribution. All studies reported the emission factor of GHG, while 5 studies addressed the emission factors of SO₂ and NO_x, two studies reported emission of PM_{2.5}.

Depends on different type of biomass fuel, the emission factor of GHG varied intensively: $42-191 \text{ gCO}_2 - \text{eq/kWh}$ for straw based direct combustion, $493.2 \text{ gCO}_2 - \text{eq/kWh}$ for gasification. Likewise, the emission factors of SO₂ and NO_x varied several orders of magnitude: from 0.03 to 9.16 g SO₂/kWh and from 0.08 to 3.53 g NO_x/kWh. For GHG emission, the share of plant operation varied from 41% [58] to 85% [15], while the share of agricultural plantation varied from 23% [59] to 46% [58], ranked the second. But [49] reported the agricultural plantation phase was the largest contributor of CO₂, up to 89%, due to the large application of chemical fertilizer.

2.3.3 LCA of nuclear power

Five studies were considered in this review, 3 of them reported emission inventory, including GHG, and two studies identified contributions from individual life cycle stages, see table 1. Emissions of SO₂, NO_x and $PM_{2.5}$ were reported in 3, 2 and 1 studies, respectively. Only one study identified the contributions from the whole life cycle.

The results showed that GHG emission factors varied smoothly, compared with other electricity generation technologies, ranging from 10.8 to 23.4 g CO₂/kWh in plant scale, the national scale value had differences of up to one order of magnitude to provincial scale, from 3-35 to 6.36 g CO₂/kWh. The result is in the range of previous review which included 10 studies $(3.1-35 \text{ g CO}_2 - \text{eq/kWh})$ [60]. Due to lacking of individual assessment about nuclear power plant in China, it's difficult to explain the reason of such variation. Fuel excavation and disposal are the largest contributor of GHG and GWP [12,24,61], followed by plant operation, [28] and [61] reported the fuel excavation and processing account 60% and 80% of the CO₂ emission, respectively. The emission factor of SO₂ and NO_x were in the range of 0.003-0.015 g SO₂/kWh and 0.01-0.05 g NO_x/kWh, respectively. Only [12]

2.4 Discussion

Of the 54 studies reviewed, GHG emission is the fundamental research indicator in all studies, only 16 studies fully reviewed 4 emissions: GHG, SO₂, NO_x and PM_{2.5}. Although GHG emission is a primary indicator of the environmental performance regarding global warming, other environmental impacts should also be studied, for example, the figure 1 showed the oil, natural gas and coal power have similar emission factor of GHG (380-1000 gCO₂-eq/kWh for natural gas, 700-1020 gCO₂eg/kWh for coal and 800-1000 gCO₂-eg/kWh for oil), while the gas power showed modestly better environmental performance than oil and coal power in GHG emission, with 20% lower emission intensity in GHG than oil and coal power, but they had similar emission factors of SO₂ and NO_x, see figure 1. Likewise, biomass and solar power had similar GHG emission (8.5-178-190 gCO₂-eg/kWh and 5.6–207 gCO₂-eg/kWh, respectively), but biomass power reported much larger emissions of SO_2 and NO_x (0.2–2.1 gSO₂/kWh and 0.18-2.9 gNO_x/kWh) than solar power (0.12-0.427 gSO₂/kWh and 0.15-0.4 gNO_x/kWh), therefore, only focusing on GWP or GHG emission is incomplete and misleading. Biomass power



Figure 1. GHG emission factor of the reviewed China's electricity sector

reported the infrastructure related emissions and environmental impacts were negligible.

and solar power had relatively higher emissions environmental impacts than wind, hydro and nuclear

power which had the smallest emission factors in all reviewed technologies.

Compared with fossil fuel energy, renewable energy technologies have significantly lower GHG emission, see figure 1. The difference in their emissions is mainly due to specific material consumption in individual life cycle stages. Majority life cycle stages and materials of fossil fuel and renewable energy technologies are different and independent, therefore, it's difficult to conduct comparisons between individual life cycle stage and material. Fossil fuel energy studies mainly address emissions from fossil fuel cycle, because its emission are mainly from fossil fuel combustion, while in addition to explain emissions from individual life cycle stage, renewable energy studies also emphasis on reporting emissions from material consumption of plants. Noticeably, considerable renewable energy studies reported the emissions from end-of-life treatment, which can offset large part of life cycle emissions [17,50], while end-of-life emissions are ignored in majority fossil fuel studies.

2.5 Conclusions

This article reviewed 56 recent LCA studies directly related to the life cycle GHG emissions from a range of fossil fuel and renewable electricity generation technologies and provides assessment of contributions from individual life cycle stages. The review has shown that the lowest GHG emissions were associated with nuclear power (mean life cycle GHG emissions could be 3 to 35 gCO₂-eq/kWh). Noticeably, different biofuel based biomass power can lead to high variation emission intensity (97.2-750 gCO₂-eq/kWh for hybrid fuel; 14.4-178 gCO₂-eq/kWh for straw based fuel, respectively). While, the coal power reported the highest emission intensity of GHG, averagely 500% higher than nuclear power. The review further demonstrates the variability of existing LCA GHG emission estimates for electricity generation from both renewable and fossil fuel power. While some of these differences may reflect actual differences in GHG emissions, others may largely be due to assumptions and other modelling choices. This offers for improvement and opportunities areas for standardization. The results of this review can provide suitable baseline estimates for China's emissions from power generation sector based on one year or two, as well as the future projects in developing renewable energy technologies for electricity generation.

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