

# Direct quantification of anthropogenic CO<sub>2</sub> emissions: Insights from Satellite Observations

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## ABSTRACT

Carbon dioxide (CO<sub>2</sub>) contributes to over 50% of the enhanced radiative forcing, which in turn leads to climate change. Regular monitoring of CO<sub>2</sub> emissions is commonly required by various governments for strategic management purposes. However, the conventional self-reporting mechanism heavily relies on reporting parties, making it less efficient and subjective. This study proposes a direct method to estimate the CO<sub>2</sub> emissions using satellite-based column-averaged mole fractions of CO<sub>2</sub> (XCO<sub>2</sub>) retrievals. To account for spatial and temporal variability, the study adopts the geographically and temporally weighted regression (GTWR) model. The results show high consistency, indicating the potential of using satellite-based data to track anthropogenic emissions with more frequent and extensive coverage.

**Keywords:** CO<sub>2</sub> emissions, XCO<sub>2</sub>, OCO-2, GTWR

## NONMENCLATURE

### Abbreviations

AT	Air Temperature
CO <sub>2</sub>	Carbon dioxide
GHG	Greenhouse Gas
GTWR	Geographically and Temporally Weighted Regression
MB	Mean Bias
OCO-2	Orbiting Carbon Observatory-2
TCWV	Total Column Water Vapor
UNFCCC	UN Framework Convention on Climate Change
WS	Wind Speed
XCO <sub>2</sub>	column averaged CO <sub>2</sub> dry air mole fraction of CO <sub>2</sub>

## 1. INTRODUCTION

The concentration of CO<sub>2</sub> has steadily risen in the past few decades as a result of human activities, especially from fossil fuel combustions [1]–[3]. To foster a sustainable low-carbon economy, the Paris Agreement of UN Framework Convention on Climate Change (UNFCCC) mandates the monitoring of anthropogenic greenhouse gas (GHG) emissions and the implementation of mitigation commitments to reduce carbon emissions. It is crucial for governments to have reliable statistics on CO<sub>2</sub> emissions to assess progress in mitigation efforts [4].

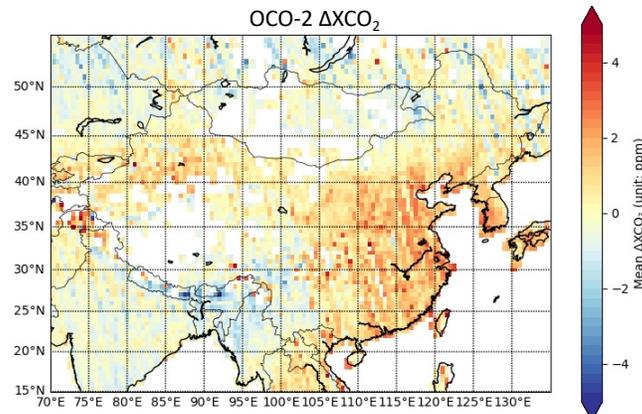


Fig. 1. Mean of  $\Delta XCO_2$  data obtained from OCO-2 during 201409 – 201912.

Ground-based observations offer a comprehensive understanding of the growth rate and variation trends of atmospheric CO<sub>2</sub> [5], [6]. However, sparse nature of the observation network limits the accurate inference of global-scale carbon emissions. “Bottom-up” inventories are fundamental for managing CO<sub>2</sub> emissions at various levels, but their quality varies among and within reporting parties [7], [8]. The conventional “top-down” approach often relies on satellite measurements as proxies to disaggregate consumption statistics, which

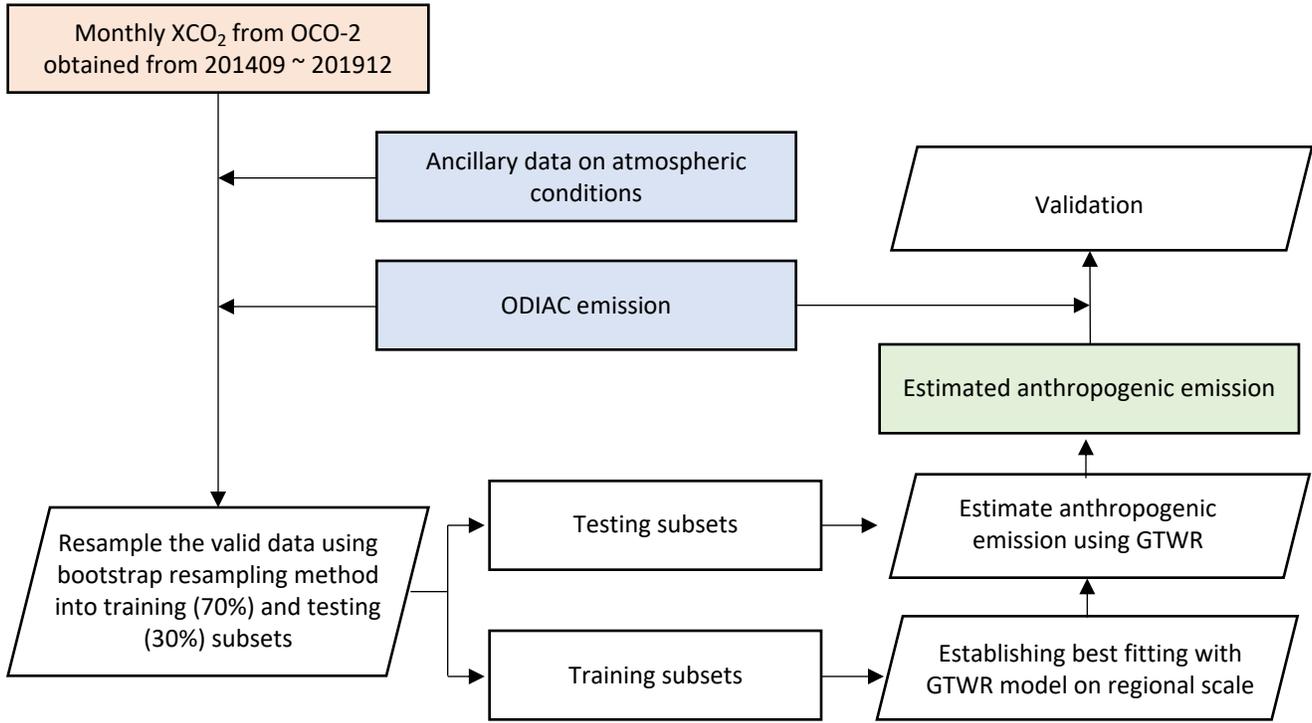


Fig. 2. Flowchart of anthropogenic emissions estimation using  $\Delta XCO_2$  data obtained from OCO-2

poses challenges in ensuring the accuracy and consistency of estimation results [9].

Satellite-based remote sensing observations offer additional measurements to the existing surface-based greenhouse gas monitoring network, enabling the estimation of surface fluxes of  $CO_2$  and other greenhouse gases on regional scales worldwide [10], [11]. The column averaged  $CO_2$  dry air mole fraction of  $CO_2$  ( $XCO_2$ ) was calculated using data from various satellite missions to estimate anthropogenic emissions [12], [13]. For example, cluster analysis was performed on the enhanced  $XCO_2$  ( $\Delta XCO_2$ ) extracted from the Orbiting Carbon Observatory-2 (OCO-2), revealing a positive correlation between  $CO_2$  and emission inventories [14]. Multiple linear regression model [15] and general regression neural network model were proposed to estimate anthropogenic  $CO_2$  emissions from satellite-based observations.

Despite decades of research on carbon monitoring, significant uncertainties persist in measuring global carbon emissions. Here a reliable estimation on atmospheric  $CO_2$  emission was proposed to estimate global anthropogenic emissions with multi-year  $XCO_2$  data extracted from OCO-2. Moreover, the geographically and temporally weighted regression model is utilized to simulate the relationship between  $\Delta XCO_2$  and anthropogenic emissions with localized correction [16]. The main goal of this study is to develop

an objective approach to directly estimate  $CO_2$  emissions from satellite-based measurement on  $CO_2$  amount.

## 2. MATERIALS

### 2.1 $XCO_2$

NASA's OCO-2 is one of the most popular satellites designed to monitor  $CO_2$  variations from space [17], [18]. It was launched into a sun-synchronous orbit in 2014, aiming to reduce uncertainties in the spatial-temporal distribution of biospheric carbon fluxes on regional scales. It measures the solar backscattered radiance in the near infrared (NIR) and shortwave infrared (SWIR), including the  $O_2$ -A band at 760 nm, the weak  $CO_2$  band at around 1610 nm, and the strong  $CO_2$  band at around 2060 nm. The OCO-2 is operated in a near-push-broom style with a spatial resolution at around 1.29 km x 2.25 km [18]. The validation on OCO-2  $XCO_2$  product against ground-based Total Carbon Column Observing Network (TCCON) indicate an uncertainty of 1.3 ppm [19]. Here we adopted the OCO-2 L2 bias-corrected  $XCO_2$  product (OCO2\_L2\_Lite\_FB\_10r) and re-gridded to a spatial resolution of 0.02 x 0.02 degrees future analysis.

### 2.2 Ancillary data

The atmospheric conditions, such as total column water vapor (TCWV), air temperature (AT), local wind

speed (WS), and vertical velocity from ERA5 were obtained as ancillary data in the estimation process.

ERA5 is the global climate and weather reanalysis developed by the European Centre for Medium-Range Weather Forecasts (ECMWF), and it integrates model simulations with observational data [20]. The local wind field was employed to account for the atmospheric movement in the horizontal direction. More specifically, the average wind speeds (WS) at 1000, 975, and 950 hPa were calculated to approximate the wind below 500 m [21].

Large-scale atmospheric circulation is another driving force for regional changes of atmospheric concentration. The vertical velocity at 500 hPa ( $\omega_{500}$ ) was utilized as the proxy of large-scale circulation [22].

The bottom-up inventory of CO<sub>2</sub> emissions of ODIAC is a global high-resolution emissions data product for fossil fuel carbon dioxide emissions commonly adopted as reference data on CO<sub>2</sub> emissions [23]. This dataset utilizes datasets from multiple resources, including energy statistics, emissions inventories, and satellite observations, to provide detailed picture of CO<sub>2</sub> emissions. The ODIAC were employed as a reference for CO<sub>2</sub> emissions for model development and validation analysis.

Notably, all datasets were re-gridded into 0.02 x 0.02 degrees to align with the data obtained from remote sensing satellite.

### 3. METHODOLOGY

This study proposed a direct estimation approach to anthropogenic CO<sub>2</sub> emissions with XCO<sub>2</sub> data obtained from OCO-2.

While the anthropogenic emissions only account for a small percentage of carbon fluxes, identifying the CO<sub>2</sub> fluxes arising from natural sources and anthropogenic emissions is critical. To enhance the observation signals and isolate the anthropogenic emissions from CO<sub>2</sub> columns, the monthly median from the study area was subtracted as the background CO<sub>2</sub> fluxes [14]. The XCO<sub>2</sub> anomaly ( $\Delta XCO_2$ ) was derived as follows:

$$\Delta XCO_2 = XCO_2(\text{individual}) - XCO_2(\text{monthly median}) \quad (1)$$

This step detrends the XCO<sub>2</sub> data while reducing the impact of potential regional-scale biases in the OCO-2 product.

Atmospheric transport is one of the most critical elements in estimating CO<sub>2</sub> emissions. In this study, the wind speed is utilized to indicate the atmospheric movement in the horizontal directions, and the vertical velocity is used to identify the atmospheric movement in the vertical directions. In addition, the near-surface air

temperature and humidity are also included in the model development.

To capture the spatiotemporal heterogeneity between  $\Delta XCO_2$  and CO<sub>2</sub> emissions, the geographically and temporally weighted regression (GTWR) model is adopted in this research [16]. The model estimates local regression coefficients for each observation by considering the neighboring observations within a specified bandwidth. This allows for the modeling of spatially varying relationships between the variables. The estimation model could be expressed as:

$$AE_i = \beta_0(\mu_i, \nu_i, t_i) + \beta_1(\mu_i, \nu_i, t_i) \times \Delta XCO_{2i} + \beta_2(\mu_i, \nu_i, t_i) \times \omega_{500i} + \beta_3(\mu_i, \nu_i, t_i) \times WS_i + \beta_4(\mu_i, \nu_i, t_i) \times AT_i + \beta_5(\mu_i, \nu_i, t_i) \times TCWV_i + \varepsilon_i \quad (2)$$

where  $AE_i$  are the monthly anthropogenic emissions of sample  $i$  at location  $(\mu_i, \nu_i)$  at time  $t_i$ .  $\beta_0$  is the intercept at location  $(\mu_i, \nu_i)$  at time  $t_i$ .  $\beta_1$ - $\beta_5$  denote the location-and-time-specific slopes for  $\Delta XCO_2$  observed from OCO-2,  $\omega_{500}$ , WS, AT, and TCWV, respectively.  $\varepsilon_i$  represents the offset.

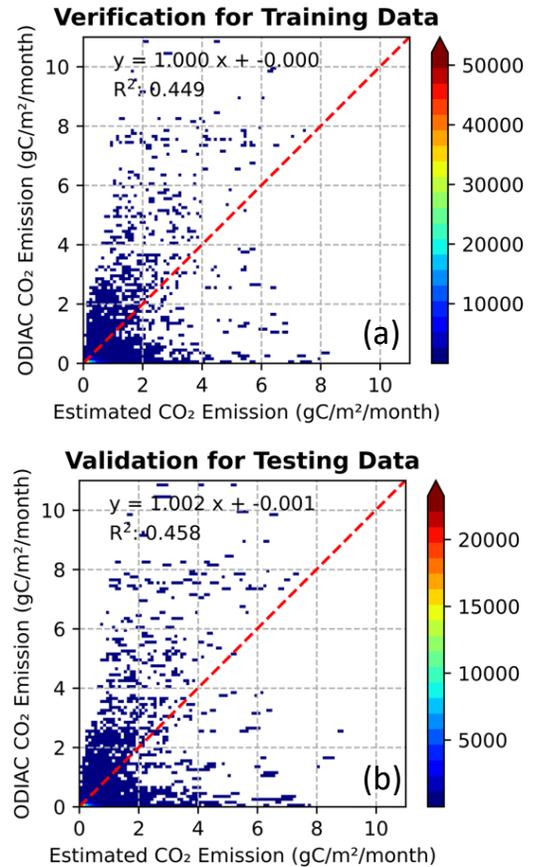


Fig. 3. Scatter plot of anthropogenic emissions estimated from OCO-2 and the collocated ODIAC product from 201409 to 201912 for (a) the training dataset and (b) the testing dataset.

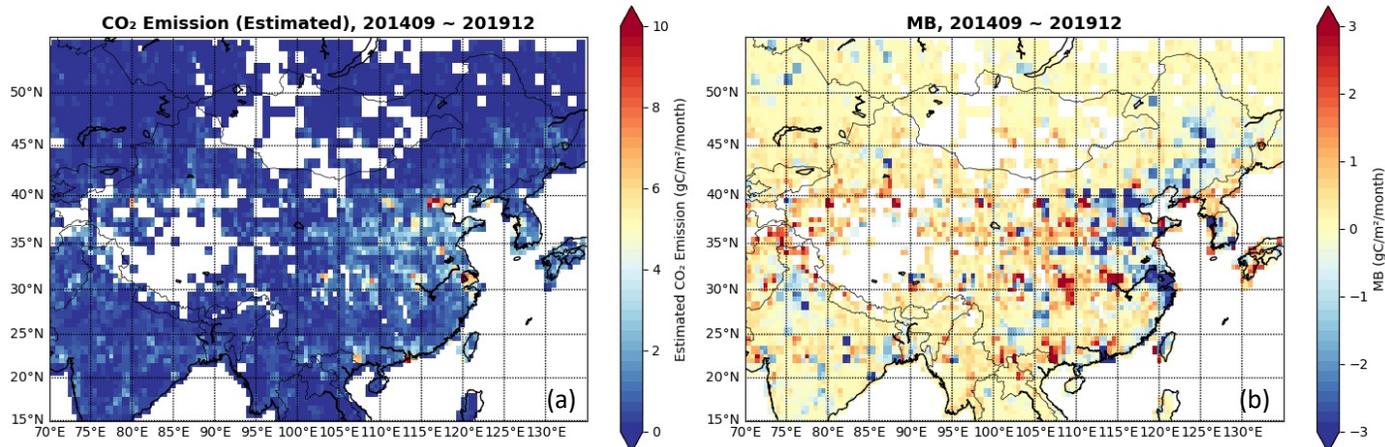


Fig. 4. Distribution map of the (a) estimated  $\text{CO}_2$  emission obtained from OCO-2 during 201409 – 201912, (b) mean bias between satellite-based estimation and ODIAC data.

A total of 2,894,275 groups of valid collocated samples are obtained during the observation period at the research area (latitude:  $15^\circ\text{N} \sim 55^\circ\text{N}$ , longitude:  $70^\circ\text{E} \sim 135^\circ\text{E}$ ). The bootstrap resampling method is used to separate the dataset into subsets for model training ( $\sim 70\%$ , 315,000 samples) and testing ( $\sim 30\%$ , 135,954 samples). The purpose of this resampling step was to align datasets into separate training and testing subsets, ensuring independence, and minimizing the influence of the diverse spatial distribution of data points and potential biases in satellite observations.

#### 4. RESULTS AND DISCUSSIONS

The monthly anthropogenic emissions are estimated using the GTWR model with the  $\Delta\text{XCO}_2$  extracted from OCO-2  $\text{XCO}_2$  product. To reduce the computational time and computer memory, the analysis was conducted with spatial resolution of 0.5 degree.

The cross validation is conducted for the newly estimated dataset. The scatter plots of  $\text{CO}_2$  emissions from satellite-based estimation and the collocated ODIAC product from 201409 to 201912 are presented in Figure 3. The  $R^2$  for the training and testing subsets are 0.449 and 0.458, respectively.

The characteristics of  $\text{CO}_2$  emission are investigated using the estimated data. The mean bias (MB) between the satellite-based estimation and the ODIAC inventory are calculated:

$$MB = \frac{1}{n} \sum_{i=1}^n (AE_{estimated} - AE_{ODIAC}) \quad (3)$$

where  $n$  denotes the number of sample size, the  $AE_{estimated}$  is the estimation results, and the  $AE_{ODIAC}$  is the data obtained from ODIAC inventory.

As the distribution map of satellite-based estimation shown in Figure 4 (a), emission hotspots are observed in

areas such as the Beijing-Tianjin-Hebei province cluster and Yangtze River Delta area. The MB shown in Figure 4 (b) indicate overestimation in central China and underestimation in eastern China.

To summarize, this study successfully demonstrated a practical technique for direct estimating  $\text{CO}_2$  emissions using satellite-based observations of column amount  $\text{CO}_2$ . The validation results indicate that the proposed estimation approach is reliable, both in terms of spatial and temporal aspects. These findings are significant for the utilization of  $\text{CO}_2$  satellite data in independently monitoring  $\text{CO}_2$  emissions at different levels.

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#### DECLARATION OF INTEREST STATEMENT

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper. All authors read and approved the final manuscript.

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